

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
D/A2120

In Re Application Of: **Paul R. Austin**

Serial No.
Not Yet Assigned

Filing Date
Not Yet Assigned

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **LIGHT-BASED DATA COMMUNICATION IN A DATA-PROCESSING SYSTEM**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) D/A2120		Application Number Not Yet Assigned	
				Applicant(s) Paul R. Austin			
				Filing Date Not Yet Assigned		Group Art Unit Not Yet Assigned	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,552,328 B1	04/22/2003	Berlin et al.	250	221	02/22/2000
		US 6,515,308 B1	02/04/2003	Kneissl et al.	257	86	12/21/2001
		US 6,430,632 B1	08/06/2002	Ain et al.	710	61	05/28/1999
		US 6,304,588 B1	10/16/2001	Chua et al.	372	46	09/02/1999
		6,078,789	06/20/2000	Bodenmann et al.	455	66	03/08/1999
		5,920,709	07/06/1999	Hartung et al.	395	309	11/18/1996
		5,835,862	11/10/1998	Nykanen et al.	455	558	03/06/1996
		5,784,396	07/21/1998	Guerin	372	29	09/17/1996
		5,745,795	04/28/1998	Pecone et al.	395	882	11/04/1996
		5,628,637	05/13/1997	Pecone et al.	439	74	12/08/1994
		5,557,634	09/17/1996	Balasubramanian et al.	375	222	10/14/1994

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.